

Exhibit A

For projects seeking increased NJ Grow tax credit awards under the collaborative research agreement classification, Authority staff will evaluate the eligibility of the collaborative research agreement based on the following criteria:

Grow NJ Collaborative Research Agreement Worksheet

Worksheet Date:

Reviewer:

Applicant Company:

Company business description:

Address of Qualified Business Facility (for Grow NJ):

1. Is above address in a Garden State Create Zone (GSCZ)?

Yes

If yes, please provide the name of the New Jersey doctoral college / university that is closest to the Qualified Business Facility (related to Grow NJ):

No

If no, please provide the name of the New Jersey non-doctoral college / university that is closest to the Qualified Business Facility (related to Grow NJ):

2. Has the business entered into a Collaborative Research Agreement with the college / University (listed above)?

Yes

No

3. Has the business submitted an executed Collaborative Research Agreement (with all attachments) signed by the authorized signatory from the college / University (listed above)?

- Yes
- No

4. What kind of Collaborative Research Agreement has the business entered into with the University (for Grow NJ)?

- Sponsored Research
- Direct University collaboration / Joint Initiative / participation
- Grant / Fellowship
- Corporate Sponsored award / entrepreneurship / mentoring

5. Is the Collaborative Research Agreement in the STEM field?

- Yes
- No

6. Is the Collaborative Research Agreement in line with / as per the letter of intent submitted with the Grow NJ application?

- Yes
- No

7. Does the Collaborative Research Agreement define the Project Scope as well as intended outcome of the project / collaboration?

- Yes
- No

8. Is the Project Scope of the Collaborative Research Agreement in line with the applicant company's business / industry?

- Yes
- No

9. Does the Collaborative Research Agreement identify a leader / representative from the applicant Company?

- Yes

If Yes: please provide name of the leader / representative

- No

10. Does the project leader / representative from the applicant Company work at the qualified business facility (related to Grow NJ)?

Yes

If Yes: please provide documental evidence for the same.

No

11. Does the Collaborative Research Agreement identify a leader / representative from the College / University?

Yes

If Yes: please provide name of the leader / representative

No

12. Is the project leader's academic / professional background in the field of the Project Scope?

Yes

If Yes: please submit the resume of the project leader.

No

13. Does the Collaborative Research Agreement spell out the frequency and method of communication between the members of the applicant business and the college / university team working on the project?

Yes

If Yes: please provide information on the frequency and method of communication.

No

14. Is the duration of the project defined in the Collaborative Research Agreement?

Yes

If Yes: please provide the duration of the project. Agreement / Project Start date / completion date – if provided.

No

15. If the Collaborative Research Agreement is for Sponsored Research, what is the definition of project completion?

When a solution to the specific problem defined in the scope of the agreement is developed.

When the duration of the project end (date specific).

16. If the Collaborative Research Agreement is for Sponsored Research, does the agreement detail Intellectual Property - (such as patent, copyright, etc.) filing, ownership, protection, etc. -responsibilities and who is the owner of the created intellectual property?

Yes

No

17. For Sponsored Research agreement, who does the agreement specify as owner of the created intellectual property?

Applicant Company

NJ College / University

Joint ownership by the Company and the University

18. Does the Collaborative Research Agreement define reporting – frequency, type, responsible party / individual – for the progress of the project?

Yes

If Yes: please provide the list of progress report: frequency, type, responsible party / individual

No

19. Does the Collaborative Research Agreement define the Agreement renewal and amendment criteria and mechanism?

Yes

If Yes: Please detail the renewal and amendment criteria

No

20. Does the Collaborative Research Agreement define the Agreement termination (prior to project completion) criteria and mechanism?

Yes
If Yes: Please detail the agreement termination criteria

No

21. Does the Collaborative Research Agreement define the budget for the Project?

Yes
If Yes: Is the compensation commensurate with the work and the Grow NJ award?

No

22. Does the Collaborative Research Agreement provide specifics / details on the resources required for the project? For example, personnel time, equipment, location of work? Does the Collaborative Research Agreement identify contributing entity (the applicant company or University) for each resource?

Yes
If Yes: Please detail resource identified for the collaboration and its contributor

No

23. Where will these resources be primarily located?

- At Qualified Business Facility of the Applicant Company
 At the New Jersey College / University with which the applicant company has collaborative research agreement

24. Does the Collaborative Research Agreement provide specifics / details (amount, frequency) on the payment / compensation to the New Jersey College / University?

Yes
If Yes: Is the compensation commensurate with the work and the Grow NJ award?

No

25. If the Collaborative Research Agreement is for Grant, Fellowships or sponsored award is the funding meaningful (minimum \$xxxx)?

Yes
 No

26. If the Collaborative Research Agreement is for sponsored award, is the criteria for the selection of award recipient well defined?

- Yes
 No

27. If the Collaborative Research Agreement is for sponsored award / entrepreneurship / mentoring, what is the duration of the agreement? Please specify.

28. Was there any press coverage around the Collaborative Research Agreement?

- Yes
If Yes: Please submit copies of press releases.
- No

Supporting Documents Required with Submission:

- a. Copy of the executed Collaborative Research Agreement.
- b. Resume of the applicable project leaders.
- c. Certification (or any other document) from the applicant Company that the company representative on the project works from the Qualified Business Facility.
- d. Copy of reports generated under the Collaboration Research Agreement.
- e. List of assets/resources (along with ownership information) identified in the Collaborative Research Agreement (if applicable).
- f. Proof of payments for the Sponsored Research fee / Sponsored Award / Grant – as per the agreement.
- g. Copy of all Intellectual Property Filings resulting from the Collaboration agreement (if available).
- h. Copy of the detailed Collaboration budget – including responsible funding party (Company, University, other) (if applicable).